

Lecture #9

Today: Calculate the entropy

$$a = \begin{bmatrix} e^t & \\ & e^{-t} \end{bmatrix} \sim \pi$$

$$\begin{bmatrix} 1 & s \\ & 1 \end{bmatrix} \vec{v}$$

$$H \sim \pi^d$$

$$A \in \mathrm{SL}_d(\mathbb{R})$$



$$G_a = \{g \in G : a^n g a^{-n} \rightarrow e\}$$

a -inv prob μ on $X = G/\pi$

$$H = G_a \sim X$$

would like to restrict μ to H -orb

Lemma: (X, T, μ) μ T -erg invariant

$$\mathcal{E} = \sigma \{ A \in B_X : T^{-1}A = A \}$$

$\mathcal{E}$ c.g.o. $\Rightarrow \mu$ is supported on a finite set

Proof: $\forall A \in \mathcal{E} \quad \mu(A) \in \{0, 1\}$

$$\mathcal{E} = \sigma \{ E_n \}$$

$$x \in \bigcap_{\mu(E_n)=1} E_n \cap \bigcap_{\mu(E_n)=0} E_n^c = [x]_{\mathcal{E}} = \{T^n x\}_{n \in \mathbb{Z}}$$

$\Rightarrow$ finite orbit since $\mu(\{x\}) = 1$

cond. measures $\sim$ fibre-measure $\sim$ local measures
 (of finite measures) (locally finite measures)

Note: μ finite measure

$$\mu = \int \mu_x^{\#} d\mu(x)$$

$\uparrow$
always probabilities

μ only finite $\forall Y \subset X \mu(Y) < \infty$
 $\mu|_Y = \int (\mu|_Y)_x^{\#} d\mu(x)$

X σ -cpt $X = \bigcup Y_n$

Fibre measures: X σ -cpt μ local finite
 loc-cpt

$A \subset B \subset X$

Fix $f_0 \in L^1(\mu)$

$f_0 > 0$ μ a.e $\mu(f) = 1$

define ν on X ν_{f_0} defined by $d\nu_{f_0} = f_0 d\mu$

μ_{f_0} prob on X

$$\mu_x^A := \left((\mu_{f_0})_x^A \right)_{\substack{1 \\ f_0}}$$

Prop: • $x \mapsto \mu_x^A$ $\mathcal{A}$ -measurable ✓
 • $\forall \gamma \mu(\gamma) < \infty$ standard cond. probs.

$$\mu_x^A|_{\gamma} \sim (\mu|_{\gamma})_x^A$$

↑
up to proportionality

$$\frac{\mu_x^A|_{\gamma}}{\mu_x^A(\gamma)} = (\mu|_{\gamma})_x^A \quad (*)$$

Proof: $g \in L^{\infty}(\gamma) \quad A \in \mathcal{A}|_{\gamma}$

$$(*) \Leftrightarrow \int_A \frac{\mu_x^A(g)}{\mu_x^A(\gamma)} \underset{f_0}{\mathbb{1}_{\gamma}} d\mu \stackrel{!}{=} \int_A g d\mu$$

$$\int_A \frac{\mu_x^A(g)}{\mu_x^A(\gamma)} \underbrace{(\mu_{f_0})_x^A \left(\frac{\mathbb{1}_{\gamma}}{f_0} \right)}_{= \mu_x^A(\mathbb{1}_{\gamma})} d\mu_{f_0}$$

$$= \int_A \mu_x^A(g) d\mu_{f_0} = \int_A \frac{g}{f_0} d\mu_{f_0} \\ = \int_A g d\mu \quad \square$$

Transformation rule for fibre measures:

$$T: X \rightarrow X \quad T_*\mu = \mu \quad \text{then} \quad T_*\mu_x^{T^{-1}A} \sim \mu_{Tx}^A$$

$$\left\{ \begin{array}{l} \phi: (X, \mu) \rightarrow (Y, \nu) \\ \phi_*\mu = \nu \\ \mu, \nu \text{ finite} \end{array} \right. \quad A \subset B_Y \quad \text{then} \quad \phi_*\mu_x^{\phi^{-1}A} = \nu_{\phi(x)}^A$$

Apply: Take $X \subset X$ $\mu(X) < \infty$

$$T: T^{-1}X \rightarrow X \quad \text{self-}g \left\{ \begin{array}{l} \mu|_{T^{-1}Y} \rightarrow \nu|_Y \end{array} \right.$$

$$T_* \left(\mu|_{T^{-1}Y} \right)_x^{T^{-1}A} \stackrel{!}{=} \left(\mu|_Y \right)_{Tx}^A$$

$$\left. T_*\mu_x^{T^{-1}A} \right|_Y = T_* \left(\mu_x^{T^{-1}A} \right|_{T^{-1}Y} \right) \quad \mu_{Tx}^A|_Y \quad \square$$

Leafwise measure:

$H < G$ closed

$H \backslash G$

$\mathcal{B}_{H \backslash G}$

c.g.

μ loc finite
on G/Γ

Lift μ to μ_G on G Γ -invariant.

$$\mu_g = (\mu_G)_g^{\mathcal{B}_{H \backslash G}}$$

fibre measure
support on Hg

Leafwise measure:

$$x = g\Gamma \in G/\Gamma$$

right translation
defined μ a.e $x \in G/\Gamma$

$$\mu_x^H = R_{g^{-1}} * \mu_g =: \mu_g * g^{-1}$$

supported
on H

$$R_\gamma * \mathcal{B}_{H \backslash G} = \mathcal{B}_{H \backslash G}$$

$$\mu_g * \gamma \sim \mu_{g\gamma}$$

$$\begin{aligned} \mu_{g\gamma} * (g\gamma)^{-1} &= \mu_{g\gamma} * \gamma^{-1} * g^{-1} \\ &\sim \mu_g * g^{-1} \end{aligned}$$

well
defined
up to
proportionality

we can fix a normalization:

$$\mu_x^H(B_1^H(e)) = 1$$

Lemma: $e \in \text{supp } \mu_x^H$ $\mu a e x$

$$\top \quad \mu_g(Y) > 0 \quad a e g \in Y \quad \mu_G(Y) < \infty \quad \checkmark$$

$\{g_k\} \subset G$ dense

$$\mu_g(B_{\frac{1}{n}}(g_k)) > 0$$

$$\Rightarrow \mu_g(B_{\frac{1}{n}}^H(g_k)) > 0$$

$$a e g \in B_{\frac{1}{n}}(g_k)$$

$\nearrow$
indep n, k

$$\forall n \quad \bigcap_{k=1}^{\infty} B_{\frac{1}{n}}^H(e)$$

⊥

Lemma: $a \in N_G(H)$ $a * \mu = \mu$

$$\mu_{a.x}^H \sim \text{Conj}(a) * \mu_x^H$$

$$\top \quad a^{-1} B_{H \setminus G} = B_{H \setminus G} \rightarrow a * \mu_g \sim \mu_{a.g}$$

$$x = g \top \quad \mu_{a.x}^H \sim \mu_{ag} * g^{-1} a^{-1} \sim a * \mu_g * g^{-1} a^{-1}$$

$$\sim_{a \times \mu_x^H} \tilde{a}^1$$

⌋

• $a \in \text{SLd}(\mathbb{R})$ diagonal

$$H \subset G_a = \{ g : a^n g a^{-n} \rightarrow e \}$$

H a -normalized

• $\mathbb{H} \sim \mathbb{T}^d$

$$G = \mathbb{Z} \times \mathbb{R}^d$$

$$\Gamma = \mathbb{Z} \times \mathbb{Z}^d$$

$$(m, v) \circ (n, w)$$

$$= (m+n, H^m w + v)$$

$$a = (1, 0) \cong \underline{\mathbb{H}}$$

$$G_a \cong \text{Eigenspaces for } |X| < 1$$

Thm: $\mu_{H-\tilde{u}v} \Leftrightarrow \mu_x^H$ left Haar
 $a \in x$

Proof:

$$\Downarrow$$

$$\mu_G$$

$$\Leftrightarrow$$

$$\Downarrow$$

$$\mu_g$$
 left $H-\tilde{u}v$
 $a \in g$

$$[\Rightarrow]: h_* \mu_G = \mu_{h(G)}$$

$$h_* B_{h(G)} = B_{h(G)}$$

$$\Rightarrow h_* \mu_g \sim \mu_{hg} = \mu_g$$

$$\exists c_g \quad h_* \mu_g = c_g \mu_g$$

$$c_g \text{ H-inv.}$$

$$B' \subset B = \{c_g > 1\}$$

finite measure

$$Y = B' \cup h^{-1}B'$$

$$\mu_G(Y) < \infty$$

$$c_g > 1 \text{ on } Y$$

$$\mu_G|_Y(B') = \int \frac{\mu_g(B')}{\mu_g(Y)} d\mu_{G|Y}$$

$$= \int \frac{1}{c_g} \frac{\mu_g(h^{-1}B')}{\mu_g(Y)} d\mu_{G|Y}$$

$$< \int \frac{\mu_g(h^{-1}B')}{\mu_g(Y)} d\mu_{G|Y}$$

$$= \mu_{G|\gamma}(hB)$$



$$\leadsto \mu_G(B) = 0$$

$$\Rightarrow c_g \equiv 1$$

$$[K=] \quad B \subset G$$

$$\gamma = B \cup hB$$

$$\mu_{G|\gamma}(B) = \int \frac{\mu_g(B)}{\mu_g(\gamma)} d\mu_G(g)$$

$$= \int \frac{\mu_g(hB)}{\mu_g(\gamma)} d\mu_G(g)$$

$$= \mu_G(hB)$$



$$H = U < G_a \quad a\text{-normalized}$$

Def: Entropy contribution of U at x
wrt a -inv probs μ on G/Γ

$$\text{vol}_\mu^U(a)(x) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \mu_x^U(a^{-n} B_1 a^n)$$

Prop: $\text{vol}_\mu^U(a)(x) \geq 0$, a -inv

$$= \lim_{n \rightarrow \infty} -\frac{1}{n} \log \mu_x^U(a^{-n} B_1 a^n)$$

and could replace B_1 by Ω open, bnd

Proof: $\mu_x^U(B_1) = 1$

$$f(x) = \log \mu_x^U(a^{-1} B_1 a) \geq 0$$

$$f(a^k x) = \log \frac{\mu_{a^k x}^U(a^{-1} B_1 a)}{\mu_{a^k x}^U(B_1)}$$

$k \in \mathbb{Z}$

$$\stackrel{\text{Lemma}}{=} \log \mu_x^U(a^{-(k+1)} B_1 a^{(k+1)})$$

$$\mu_x^u(\bar{a}^k B_1^u a^k)$$

$$\frac{1}{n} \sum_{k=1}^n f(a^k x) = \frac{1}{n} \log \mu_x^u(\bar{a}^n B_1^u a^n)$$

↓

$$\mathbb{E}[f | \mathcal{E}_2](x) \geq 0$$

↑
only a -inv sets

↓

$$\text{vol}_\mu^u(a)(x)$$

Example: $\mu = \text{Haar} = m$ $\mu_x^u \equiv m_u$

$$m_u(a B_1 \bar{a}^t) = \text{mod}_u(a) m_u(B_1)$$

$$\text{mod}_u(a) = |\det \text{Ad}_a|_{\mathbb{L} \bar{a} u}|$$

$$\bullet \quad a = \begin{bmatrix} e^t & \\ & e^t \end{bmatrix} \quad u = \begin{bmatrix} 1 & * \\ & 1 \end{bmatrix}$$

$$\text{vol}_\mu^u(a)(x) \equiv 2t$$

$$\bullet \quad a = u = \begin{bmatrix} 1 & s \\ & 1 \end{bmatrix} \quad G_{\bar{a}} = \{e\}$$

$$\text{vol}_{\mu}^{G_a^{-}}(u) \equiv 0$$

• If hyperbolic automorphism $V^- = \text{contracting eigenspace}$

$$\text{mod}_{V^-}(a) = \prod_{|h| < 1} |h|$$

$$\text{vol}_{\mu}^{G_a^{-}}(a)(x) = \sum_x \log^+ |h|$$

Thm A: $\mu = \int \mu_x^{\varepsilon} d\mu(x)$ erg decomp

Then $\text{vol}_{\mu}^U(a)(x) \leq h_{\mu_x^{\varepsilon}}(a)$

" $\Rightarrow$ " if $U = G_a^{-}$

Def: $h_{\mu}(a, U) = \int \text{vol}_{\mu}^U(a)(x) d\mu(x)$

$\Rightarrow h_{\mu}(a, G_a^{-}) = h_{\mu}(a)$

Thm B: $h_{\mu}(a, U) \leq -\log \text{mod}_U(a)$
 $\Leftrightarrow$ iff μ is U -invariant

Remark: Formulate analogous for G_a^+
 $G_a^- = G_{a^+}^+$, $h_{\mu}(a) = h_{\mu}(a^+)$

Cor: $G = \langle G_a^-, G_a^+ \rangle$

then H_{car} is the unique
measure of maximal entropy

μ is ergodic and $G_a^- \sim \nu$
 $\Rightarrow G_a^+ \sim \nu$